Form PTO 1449		U.S. DEPARTMENT (	OF COMMERCE	ATTY DOCKET NO. SERIAL NO.				
(Modified)		PATENT AND TRAD	EMARK OFFICE	275596US96X PCT	•	10/517,600		
	/	OIPE		APPLICANT				
LIST OF	REFER	ENCES CITER Y APP	PLICANT	Haruo SUGIYAMA, et al.				
		JUN 0 6 2006 3)		FILING DATE		GROUP		
	١	≥1		December 13, 2004				
	1	h 49		U.S. PATENT DOCUMENTS				· .
EXAMINER INITIAL		NUMBER	DATE	NAME	CLASS	SUB CLASS		ING DATE PROPRIATE
	AA							
*	AB							
	AC				•		-	
Q.	AD							
	ΑE							
	AF		<u> </u>					
	AG				1.			•
	АН			•				
	Al							
	AJ				Ţ			
	AK							
:	AL							
· :	AM							•
	AN							
	1		FC	REIGN PATENT DOCUMENTS				
		DOCUMENT NUMBER	DATE	COUNTRY			TRANSL	
	-	NOWBER		<u> </u>		YE	S T	NO
	AO							
	AP		╂			<del> </del>		
<u> </u>	AQ		<del>                                     </del>			<del> </del>		
	AR		<del> </del>			<del> </del>		
<u> </u>	AS		<del>                                     </del>			<del> </del>		
	AT	ļ·				-		
<u> </u>	AU	<del></del>	<del> </del>			<del> </del>		
<u> </u>	AV.	L	<u> </u>		-4.0	<u> </u>		<del></del>
				(Including Author, Title, Date, Pertine				Maria Land
#	AW	OKA, Yoshihiro et al. (WT1) Product*, Immu	, "Human Cyto unogenetics, V	otoxic T-lymphocyte responses specific fo ol. 51, No. 2, Pages 99-107, 2000.	or peptides	of the Wil	d-type W	/ilms: tumor gene
	AX							
	AY							
	AZ	1	11		Add	ditional Ref	erences	sheet(s) attached
III		Solo Considered Ab (12)						
L	<u>                                      </u>	Tun/	line	ot citation is in conformance with MPEP			<u> </u>	7

·		APR 2 0 2006	8			SHE	ET 1	OF	1
·		\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	<del>/</del>	T		055141	10		
Form PTO 1449 (Modified)		A DEPARTMENT	F COMMERCE	ATTY DOCKET NO.		SERIAL N			.
!				275596US96X PCT		10/517,	600		
	0555	THOSE CITED BY ADD	LICANT	APPLICANT					
LISTOF	KEFER	ENCES CITED BY APP	LICANI	Haruo SUGIYAMA, et al.					
				FILING DATE		GROUP			
				December 13, 2004				_	
1				U.S. PATENT DOCUMENTS					
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS		NG DATE PROPRIA	
	AA	·							
	AB								
	AC								
	AD								
	AE								,
	AF						_	_	
	AG		~~~~						
	AH								
	Al						_		
	AJ								
	AK	, ,							
	AL	· · · · · · · · · · · · · · · · · · ·	_						
	AM								
	AN			* .					
			FC	PREIGN PATENT DOCUMENTS	<u> </u>				
-		DOCUMENT		T		Y	TRANSLA	ATION	
		NUMBER	DATE	COUNTRY		YE	TRANSLATION YES NO		·
	AO								
	AP								
	AQ							<del></del>	
	AR								
	AS								
- 1	AT								
	AU					<u> </u>			
	AV					L			
		OTHER RE	FERENCES	(Including Author, Title, Date, Pertinent	t Pages, e	tc.)			
	AW	KUBO, Ralph T. et al., Immunologists, Vol. 15	"Definition of 2, Pages 391	Specific Peptide Motifs for Four Major HL 3-3924, 1994.	A - A Alle	les", The	American	Association	on of
	AX								
	AY								
	AZ	) n.	1 -		Add	itional Ref	erences sl	heet(s) at	ached
Examiner		Change I Lin	<u> </u>		<u> </u>	nsidered	96/0	7	
*Examiner: In conformance	nitial if r	elerence is considered, considered. Include co	whether or no	ot citation is in conformance with MPEP 60 m with next communication to applicant.	09; Draw I	ine throug!	n citation if	f not in	



Docket No.: 275596US96X PCT

Serial No.: 10/517,600

Inventor: Haruo SUGIYAMA, et al.

LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56

Filing Date: December 13, 2004

Group:

## LIST OF RELATED CASES

	Examiner Initial	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App.  Publication No.	Inventor or Applicant
	燃	275596US96XPCT*	10/517,600	12/13/04		SUGIYAMA, et al.
-	<b>'                                    </b>	283629US0PCT	10/562,486	12/27/05	,	SUGIYAMA
	4	284034US40CONT	11/322,245	01/03/06		SUGIYAMA, et al.

Examiner

Date Considered

06/07



Docket No.: 275596US96X PCT

Serial No.: 10/517,600

1

BY

Inventor: Haruo SUGIYAMA, et al.

LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56

Filing Date: December 13, 2004

Group:

## LIST OF RELATED CASES

Examiner Initial	Docket No.	Serial or Patent Number	Filing or Issue Date	Patent App. <u>Publication No.</u>	Inventor or Applicant
#	275596US96XPCT*	10/517,600	12/13/04		SUGIYAMA, et al.
	283121US0PCT	10/527,692	03/11/05		SUGIYAMA
	283124US0XPCT	10/528,360	03/18/05	·	SUGIYAMA, et al.
	276146US40DIV	11/196,459	08/04/05	US2005/0266014 A1	SUGIYAMA, et al.
	276141US40CONT	11/196,452	08/04/05		SUGIYAMA, et al.
*	283125US0XPCT	10/541,821	07/11/05		SUGIYAMA, et al.

Examiner

Date Considered

06/07

<sup>\*</sup>Present Application; listed for information NFO/hah

Form PTO 1449	*	U.S. DEPARTMENT	OF COMMERCE	ATTY DOCKET NO.		SERIAL NO.		
(Modified)		PATENT AND TRAD		275596US96X PCT		10/517,600		
		OIP	<b>E</b> (1)	APPLICANT				
LIST OF	REFER	RENCES CITED BY API	PLIC <b>ANT</b>	Haruo SUGIYAMA, et al.				
				FILING DATE		GROUP	,	
	DEC 2 9 2005			December 13, 2004				
		(A)	NAMES !	U.S. PATENT DOCUMENTS				
EXAMINER		DOCUMENT	DATE	NAME	CLÁSS	SUB CLASS		ING DATE PROPRIATE
INITIAL	AA	2005/0266014	12/01/05	SUGIYAMA ET AL		CLASS	IF AF	FROFRIATE
<del>- XV)</del>	AB		1201100	000				
<del>-/</del>	AC					-	·	
<u>-</u>	AD			· · · · · · · · · · · · · · · · · · ·			<u> </u>	
	AE		<u> </u>					
	AF		<u> </u>			-		
•	AG		<u> </u>					
•	AH						<del></del>	
	.Al					<b></b>	<del></del>	
	AJ						<del></del>	
			-			· -	-	
	AK				<u> </u>	<del> </del>		
<u> </u>	AL	\ <u></u>	<del> </del>	<del></del>		-		
······	AM		<u> </u>	<u> </u>		<del> </del>	<del> </del>	· · · · · · · · · · · · · · · · · · ·
	AN	<u> </u>	<u> </u>		L :	<u> </u>		
			FC	DREIGN PATENT DOCUMENTS		·		
	ļ	DOCUMENT NUMBER	DATE	COUNTRY	,	YE	TRANSL S	ATION NO
	AO		1.					
	AP	·						
	AQ							
	AR							
:	AS							
	AT							
	AU							
	AV		T					•
		OTHER R	EFERENCES	(Including Author, Title, Date, Pertinen	t Pages,	etc.)		
	AW	·						
	AX	-	****	· ·	•			
	AY							
	AZ	1	1	· ·	Ad	ditional Re	ferences s	sheet(s) attached
Examiner		Human	udit		Date C	onsidered	06/0	<b>3</b>
*Examiner:	nitial if	reference is considered	i, whether or n	ot citation is in conformance with MPEP 6	09; Draw	line throug		if not in
conformance	and r	o considered. Include	copy of this for	m with next communication to applicant.	,			



## LIST OF RELATED CASES

_	Docket Number	Serial or Patent Number	Filing or Issue Date	Patent Appl.  Publication No.	Inventor/ Applicant
-	254147US40PCT	09/744,815	01/30/01		SUGIYAMA, et al.
	254149US40PCT	10/471,835	09/15/03	US2004/0097703 A1	SUGIYAMA
i	275588US96PCT	10/490,873	06/17/04	US2004/0247609 A1	SUGIYAMA
	275595US96PCT	10/490,865	06/17/04	US2005/0002951 A1	SUGIYAMA, et al.
	275596US96PCT*	10/517,600	12/13/04		SUGIYAMA,

Exercing the the

Pute 06/07

<sup>\*</sup>Present Application; listed for information NFO/kch

SHEET 1 OF 1

·							
Form PTO 1449		ON THE PROPERTY OF	OF COMMERCE	ATTY DOCKET NO. SERIAL NO.			
(Modified)		PATENTANDTRO	DEMARK OFFICE	275596US96PCT 10/517,600			
			•	APPLICANT			
LIST OF	REFE	RENCES CITED BY A	PPLICANT	Haruo SUGIYAMA, et al.			·
				FILING DATE		GROUP	
				December 13, 2004			
				U.S. PATENT DOCUMENTS			•
EXAMINER		DOCUMENT	DATE	NAMĖ	CLASS	SUB	FILING DATE
INITIAL		NUMBER	<del></del>			CLASS	IF APPROPRIATE
Str)	AA	2004/0097703	05/20/04	SUGIYAMA			
	AB	2004/0247609	12/09/04	SUGIYAMA			
14-	AC	2005/0002951	01/06/2005	SUGIYAMA, et al			
	AD			·			
/	AE						
	AF						
	AG						
	AH						
	Al						
	AJ						
	AK						··· · · · · · · · · · · · · · · · · ·
	AL.	÷ .					-
	АМ						
	AN		<del>-   </del>		<u> </u>	<b>†</b>	
٠. ٠			FC	PREIGN PATENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·	- <del></del>	<u> </u>
		DOCUMENT NUMBER	DATE	COUNTRY		VE	TRANSLATION
	AO				· · · · · ·	YE	S NO
	AP	<u> </u>				<del> </del>	
ļ	AQ		<del>-  </del>			<del> </del>	
	AR					<del> </del>	
	AS		_			1	•
·	AT		-			ļ	· · · · · · · · · · · · · · · · · · ·
-	AU		<del></del>			<del> </del>	
<u>                                     </u>	AV	· · · · · · · · · · · · · · · · · · ·	<del> </del>		<del></del>	<del> </del>	
	LAV					<u> </u>	
		OTHER	REFERENCES	(Including Author, Title, Date, Pertin	ent Pages, e	etc.)	
	AW						
	AX						
	AY						
	AZ		11		☐ Add	litional Ref	erences sheet(s) attached
Examiner		The same	Turnt		Date Co	nsidered	06/11
*Examiner: I	nitial if	reterence is considere	d, whether or no	ot citation is in conformance with MPEF	609; Draw I	ine through	n citation if not in
Conformance	and no	of considered. Include	conv of this for	n with next communication to applicant	•		•

MAY 1 6 2005 5

PTO/SB/08a/b (08-03)
Approved for use through 07/31/2006. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
ork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB combol number.

Complete if Known Substitute for form 1449A/B/PTO Application Number 10/517,600-Conf. #4687 INFORMATION DISCLOSURE Filing Date December 13, 2004 STATEMENT BY APPLICANT First Named Inventor Haruo SUGIYAMA Art Unit 1615 (Use as many sheets as necessary) Not Yet Assigned **Examiner Name** Sheet Attomey Docket Number 0020-5329PUS1 - 1

	U.S. PATENT DOCUMENTS								
Examiner Cite   Document Number   Publication Date   Name of Patentee or   Applicant of Cited Document   Number-Kind Code <sup>2</sup> (#known)   MM-DD-YYYY   Applicant of Cited Document   Applicant of Cited Document   Name of Patentee or   Applicant of Cited Document   Name of Patentee or   Applicant of Cited Document   Name of Patentee or   Name of Patentee			Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
SU.	AA	US-6,034,235-A	03-07-2000	3-07-2000 Sugiyama et al.					
AB US-20030092656-A1 05-15-2003 Sugiyama									

	FOREIGN PATENT DOCUMENTS									
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>3</sup> (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>				
	ВА	EP-1 103 564-A1	05-30-2001							

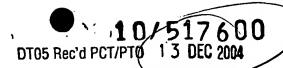
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*S Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner nitials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
##	CA	Azuma, T. et al., British Journal of Haematology, Vol. 116, pp. 601-603, (2002)	
7	CB	Ohminami, H. et al., Blood, Vol.95, No. 1, pp. 286-292, January 1, 2000	
	CC	Rosenberg, S. et al., Immunity, Vol. 10, pp. 281-287, March 1999	
	CD	Bakker, A. et al., J. Exp. Med., Vol 179, pp. 1005-1009, March 1994	
	CE	Kawakami, Y. et al., Proc. Natl. Acad. Sci. USA, Vol. 91, pp. 3515-3519, April 1994	
	CF	Brichard, V. et al., J. Exp. Med., Vol. 178, pp. 489-495, August 1993	
	CG	Fisk, B. et al., J. Exp. Med., Vol. 181, pp. 2109-2117, June 1995	П
$\neg$	СН	Tsang, K. et al., J. Natl. Cancer Inst. Vol. 87, pp. 982-990, July 5, 1995	П
	CI	Correale, P. et al., J. Natl. Cancer Inst. Vol. 89, No. 4, 293-300, February 19, 1997	
	CJ	Melief, C. et al., Cur. Opin. Immunol., Vol. 5, pp. 709-713, (1993)	
$\overline{}$	СК	Pardoll, D., Cur. Opin. Immunol., Vol. 5, pp. 719-725, (1993)	
	CL	Nanda, N. et al., Cell, Vol. 82, pp. 13-17, July 14, 1995	
	СМ	Melief, C. et al., Immunol. Rev., Vol. 146, pp. 167-177, (1995)	
	CN	Gessler, M. et al., Nature, Vol. 343, pp. 774-778, February 22, 1990	П
	CO	Call, K. et al., Cell, Vol. 60, pp. 509-520, (1990)	П
	CP	Oka, Y. et al., J. Immunol., Vol. 164, pp. 1873-80, (2000)	Π
1	CQ	Tsuboi, A. et al., J. Clin. Immunol., Vol. 20, No. 3, pp. 195-202, (2000)	Π
	CR	The 31st Annual Meeting of the Japanese Society for Immunology, p. 160, 2-C-W11-8-P Abstract enclosed.	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner Signature	Ulmani / Ludy	Date Considered	06/07	
Birch, Stewart, Kolasch &	Birgh, due		7	DRN/ic

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English tanguage Translation is attached.



PTO/SB/08a/b (08-03)

Approved for use through 07/31/2008. OMB 0851-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Sut	stitute for form 1449A/B/P	ro		Complete if Known			
000		. •		Application Number	Not Yet Assigned		
IN	IFORMATIO	N DI	SCLOSURE	Filing Date	December 13, 2004		
S	TATEMENT	BY A	APPLICANT	First Named Inventor	Haruo SUGIYAMA		
				Art Unit	N/A		
•	(Use as many st	ieets as	necessary)	Examiner Name	Not Yet Assigned		
Sheet	heet 1 of 1		Attorney Docket Number	0020-5329PUS1			

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant	
		Number-Kind Code <sup>2</sup> ( if known)			Figures Appear	

FOREIGN PATENT DOCUMENTS							
Examiner Inifels	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т٥	
	BA**	WO-00/18795-A2	04-06-2000			<u> </u>	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "CITE NO.: Those document(s) which are marked with an double asterisk ("") next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an earlier. fling date under 35 U.S.C. 120. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		AZUMA, T., et al., "IDENTIFICATION OF A NOVEL WT1-DERIVED PEPETIDE WHICH INDUCES HUMAN LEUCOCYTE ANTIGEN-A24-RESTRICTED ANTI-LEUKAEMIA CYTOTOXIC T LYMPHOCYTES", British Journal of Haematology, March 2002, Vol. 116, No. 3, pages 601 to 603.	
	CB**	OHMINAMI, H., et al., "HLA CLASS I-RESTRICTED LYSIS OF LEUKEMIA CELLS BY A CD8* CYTOTOXIC T-LYMPHOCYTE CLONE SPECIFIC FOR WT1 PEPTIDE", BLOOD, January 2000, Vol. 95, No. 1, pages 286 to 292.	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. "CITE NO.: Those document(s) which are marked with an double asterisk ("") next to the Cite No. are not supplied because they were previously cited by or submitted to the Office in a prior application relied upon in this application for an exit of the Cite No. earlier filing date under 35 U.S.C. 120.

'Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

	4		,	
Examiner   Signature	Min ! Mad	Date Considered	06/07	
	1. 32 11			